

Document type:	Do we have to provide it?	Document requirements:
Bank statement	Yes	Not older than <b>3 months</b> ; has to hold an account number that the funds are going to be deposited from.
Company profile / Extracts from Companies Registrar in your jurisdiction	Yes	Only accepting documents that are <u>translated into English language</u> and <u>certified</u> by a translator and notarised; Should not be more than <b>3 months old</b> .
Certificate of Incorporation / Registration	Yes	Copy <b>certified</b> by an independent suitably qualified person (notary, lawyer, certified public accountant) as true copy of the original;  or  Copy <b>certified</b> by the relevant company registrar in the relevant jurisdiction.
Licence or Certificate from Regulator as proof of regulated entity in a jurisdiction	<b>Only</b> if you are a licensed/ regulated entity	Copy <b>certified</b> by an independent suitably qualified person (notary, lawyer, certified public accountant) as true copy of the original; OR Copy <b>certified</b> by the relevant regulatory authority which grants licence.
Constitution or Memorandum and Articles of Association (“M&A”)	Yes	Copy <b>certified</b> by an independent suitably qualified person (notary, lawyer, certified public accountant) as true copy of the original; OR Copy <b>certified by an executive Director authorised to act on behalf of the FI</b> (i.e. not an independent or non-executive Director).
List of Directors	<b>Only</b> if you have a board of directors	Copy <b>from Company Registrar</b> ; OR Copy <b>certified</b> by an independent suitably qualified person (notary, lawyer, certified public accountant) as <b>true copy of the original</b> ; OR Copy <b>certified by an executive Director authorized to act on behalf of the FI</b> (i.e. not an independent or non-executive Director).

<p>Proof of address of:</p> <p>Directors;          Authorised Signatories; AND          Beneficial Owners (holding more than 25% shares/ voting rights).</p>	<p><b>Yes</b></p>	<p>The proof of address should contain the details of the individual's current residential address and the certifications should not be more than <b>6 months old</b>.</p> <ul style="list-style-type: none"> <li>• Financial Statements – Bank Statement / Other Letters Received from Banks / Credit Card Statement (<b>not older than 3 months</b>);</li> <li>• Utility bills – Gas, Electricity, Council Tax, Water, Mortgage statement, etc. (except for mobile phone bill) (<b>not older than 3 months</b>);</li> <li>• Tenancy agreements – contracts with landlords renting a property (valid for the date of submission and containing signatures of both parties);</li> <li>• Residence permit – both sides of the document are required.</li> </ul>
<p>Passports/National IDs of:          Directors          Authorised Signatories; AND          Beneficial Owners (i.e individual holding more than 25% shares/ voting rights)</p>	<p><b>Yes</b></p>	<p>Copy of <b>passport</b> or <b>ID certified</b> by an independent suitably qualified person (notary, lawyer, certified public accountant; AND          Copy <b>certified</b> by an executive Director authorized to act on behalf of the FI (i.e. not an independent or non-executive Director).</p>
<p>Document stating your representative rights</p>	<p><b>Only if</b> representative rights are not stated in business extract</p>	<p>Directors' resolution noting appointment of authorised signatories, OR          Power of attorney appointing the authorised signatory; OR          List of authorised signatories with specimen signatures. Original or DocuSign copy of the Directors; OR          Copy certified by an executive Director authorized to act on behalf of the FI (i.e. not an independent or non-executive Director).</p>
<p>Shareholding &amp; Ownership Structure</p>	<p><b>Yes</b></p>	<p>Copy <b>certified by an executive Director</b> authorized to act on behalf of the FI (i.e. not an independent or non-executive Director).</p>
<p>Organisation Chart</p>	<p>No</p>	<p>Copy certified by an executive Director authorized to act on behalf of the FI (i.e. not an independent or non-executive Director).</p>
<p>Latest audited financial statements</p>	<p>No</p>	<p>Copy certified by an executive Director authorized to act on behalf of the FI (i.e. not an independent or non-executive Director).</p>

<p>FATCA (Non-US / US Entity: W-8BEN-E / W9)  (Forms are available here: <a href="https://www.irs.gov/businesses/corporations/fatca-related-forms">https://www.irs.gov/businesses/corporations/fatca-related-forms</a>)</p>	<p>No</p>	<p>Original signed by an executive director of the Company; OR  Copy certified by an executive director of the Company.</p>
<p>AML/CFT Governance Questionnaire.  Financial Institutions should also provide a copy of their latest signed Wolfsberg Questionnaire (Available here <a href="https://www.wolfsberg-principles.com/wolfsbergcb">https://www.wolfsberg-principles.com/wolfsbergcb</a>)</p>	<p><b>Only</b> for companies that are required to have AML governance</p>	<p>Original <b>signed by the designated MLRO and/or executive director</b> of the Company as set out in the relevant forms.  The Wolfsberg Questionnaire should be not more than <b>12 months old</b>.</p>
<p>Compliance Manual including AML/CFT Policy</p>	<p><b>Only</b> if company is required to have it</p>	<p>Copy <b>certified by an executive director, or designated MLRO</b> of the Company.</p>
<p>Board resolution authorising opening of the customer's account with Lightnet to enable access Lightnet's platform.  (Form of Board Resolution is attached)</p>	<p><b>Only</b> if board resolution is required to have a Lightbit account</p>	<p>Original <b>signed</b> by the Company directors; OR  Copy <b>certified</b> by an executive director of the Company.</p>